

**Joseph W. Yockey**  
University of Iowa College of Law  
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## **ACADEMIC EXPERIENCE**

### **University of Iowa College of Law, Iowa City, Iowa**

David H. Vernon Professor of Law (2021 – )  
Professor of Law; Michael & Brenda Sandler Fellow in Corporate Law (2015-21)  
Associate Professor (2010-15)

#### *Courses:*

Business Associations  
Securities Regulation  
Compliance, Ethics, and Risk Management  
Private Companies  
Securities Litigation  
Higher Education and the Law  
Art Law and the Business of Art

#### *Honors and Nominations:*

Recipient, President and Provost Award for Teaching Excellence (University of Iowa teacher of the year) (2022)  
Recipient, University of Iowa Award for Outstanding First-Generation Student Advocacy (2022)  
Recipient, Collegiate Teaching Award (College of Law teacher of the year) (2012)  
Nominee, President and Provost Award for Teaching Excellence (2012, 2013, 2015, 2018, 2020, 2022)

#### *University Service:*

Past President, University of Iowa Faculty Senate (2021-22)  
President, University of Iowa Faculty Senate (2020-21)  
Vice President, University of Iowa Faculty Senate (2019-20)  
Secretary, University of Iowa Faculty Senate (2018-19)  
Search Committee, President, University of Iowa (2020-21)  
Faculty Rules and Bylaws Committee (2018-21; chair, 2021 – )  
Committee on Academic Values (2022 – )  
Committee on the Selection of Central Academic Officials (2020 – )  
Chair, Selection Committee, Michael J. Brody Award for Faculty Excellence (2020-21)  
Chair, Faculty Senate Faculty Advancement Commission (2020-21)  
Fellow, Big Ten Academic Alliance (BTAA) Academic Leadership Program (2019-20)

Chair, Central Services Review Committee for University Office of Finance and Operations (2018-19)  
Chair, Faculty Senate Committee on Committees (2019-20)  
Member, University of Iowa P3 Steering Committee (responsible for overseeing the development of a \$1 billion venture involving the lease of the campus utilities system) (2019)  
University of Iowa Path Forward Steering Committee (responsible for institutional strategic planning) (2020-21)  
University of Iowa Path Forward – Diversity, Equity, Inclusion, and Collaboration Work Group (2020-21)  
University of Iowa Budget Review Board (2020-21)  
Instructor, Iowa First Nations Summer Program (experiential learning program for Native American high school students) (2020-21)  
Selection Committee, University of Iowa Distinguished Professorship Awards (2020-21)  
Search Committee, Dean, Tippie College of Business (2019-20)  
Search Committee, Associate Provost for Faculty (2019-20)  
Central Services Advisory Committee for the Office of the Provost (2019-21)  
Faculty Policies and Compensation Committee (2018-22)  
Governmental Relations Committee (2018-22)  
Presidential Committee on Athletics (2016-19)  
Selection Committee, Michael J. Brody Award for Faculty Excellence (2017-18)  
University Investment Review Committee (2011-16)  
University Committee on Access and Use of Faculty Data (2016-17)  
Member, Faculty Council (Executive Body of Faculty Senate) (2015-18)  
Member, Faculty Senate (2015-18)

*Collegiate Service:*

Faculty Advisor, IOWA LAW REVIEW (2019 – )  
ABA/AALS Accreditation Review and Collegiate Self Study Committee (Chair, Subcommittee on Students, Admissions and Student Services) (2022 – )  
Post-Tenure Faculty Review Committee (2022 – )  
Provost's Collegiate Review Committee (2021-22)  
Strategic Planning Steering Committee (2019-21)  
Chair, Curriculum Committee, (2017-20)  
Chair, Dean's Advisory Council on Curricular and Community Enhancement (2019-20) (task force charged with developing reforms to improve the curriculum, course scheduling, and overall academic program)  
ABA Accreditation Site Visit Team: Yale Law School (2018)  
Advisory Committee for Collegiate Visiting Assistant Professor Program (2021-23)  
Pre-Tenure Faculty and Lecturer Teaching Review Committee (2017-18; 2020-22), Chair (2018-20; 2021-22)  
Tenure Committee (Professor Cristina Tilley) (2018-22)  
Co-Founder and Co-Advisor, First Generation Lawyers @ Iowa Law (2019 – )  
Faculty Advisor, Christian Legal Society (2014-20)  
Faculty Advisor, National Securities Law Moot Court Team (2014 – )  
Faculty Advisor, Iowa Innovation, Business & Law Center (2010 – )

Dean's Advisory Committee on Legal Writing Program (2019)  
Search Committee, Writing Center Director (2019)  
Learning Outcomes and Assessments Committee (2017-19)  
Search Committee, Dean, College of Law (2017-18)  
Chair, Search Committee, Assistant Dean of Career Services (2017-18)  
S.J.D. Candidate Dissertation Committee (2016-19)  
Faculty Appointments Committee (2015-17)  
Faculty Speakers Committee (2010-11), Chair (2014-15; 2016-17)  
Law Student Recruitment Committee (2014-16)  
Judicial Clerkship Committee (2012-14)  
Curriculum Committee (2011-12)  
Faculty Advisor, Judicial Clerkships (2010-12)

**University of Illinois College of Law, Champaign, Illinois**  
Visiting Assistant Professor (2008-10)

*Courses:*

Business Associations  
Securities Litigation  
Corporate Crime

**PROFESSIONAL EXPERIENCE**

**Sidley Austin LLP, Chicago, Illinois**  
Associate, Securities Litigation (2005-08)

**The Honorable John D. Tinder, U.S. Court of Appeals for the Seventh Circuit (retired)**  
Law Clerk (2004-05)

**EDUCATION**

**University of Illinois College of Law, Champaign, Illinois**

J.D., *summa cum laude* (2004)

- Order of the Coif
- Articles Editor, UNIVERSITY OF ILLINOIS LAW REVIEW
- Rickert Award for Excellence in Academic Achievement
- Rickert Award for Excellence in Legal Publications

**University of Kansas, Lawrence, Kansas**

B.A., History of Art; B.A. English (2000)

- Amsden Award for Outstanding Performance in History of Art
- University of East Anglia, Norwich, England (one-year study abroad program)

## **PUBLICATIONS**

### **Book**

THE CAMBRIDGE HANDBOOK OF SOCIAL ENTERPRISE LAW (co-edited with Benjamin Means, Cambridge University Press, 2018)

### **Articles, Chapters, and Essays**

*Corporate Fiduciary Dissent* (work in progress)

*Broken University Boards*, GEORGETOWN JOURNAL OF LAW AND PUBLIC POLICY (forthcoming 2023)

*Resolving Regulatory Threats to Tenure*, 57 UNIVERSITY OF RICHMOND LAW REVIEW 579 (2022)

*Corrupting Entrepreneurial Action*, in THE CAMBRIDGE HANDBOOK OF LAW AND ENTREPRENEURIAL ACTION (D. Gordon Smith and Christine Hurt, eds., Cambridge University Press, 2020)

*Bias Response on Campus*, 48 JOURNAL OF LAW & EDUCATION 1 (2019)

*Introduction*, in THE CAMBRIDGE HANDBOOK OF SOCIAL ENTERPRISE LAW (Joseph Yockey and Benjamin Means, eds., Cambridge University Press, 2018)

*Beyond Yates: From Engagement to Accountability in Corporate Crime*, 12 NYU JOURNAL OF LAW & BUSINESS 407 (2016)

*Using Form to Counter Corruption: The Promise of the Public Benefit Corporation*, 49 U.C. DAVIS LAW REVIEW 623 (2015)

*Does Social Enterprise Law Matter?*, 66 ALABAMA LAW REVIEW 767 (2015)

Reprinted in SUSTAINABILITY & BUSINESS LAW (Judd F. Sneirson & Nancy E. Shurtz, eds. 2017)

*The Next Chapter in Anti-Corruption Compliance*, COMPLIANCE MANAGER (Nov. 2015) (with Nicole Saleem)

*The Compliance Case for Social Enterprise*, 4 MICHIGAN BUSINESS & ENTREPRENEURIAL LAW REVIEW 1 (2014)

*Choosing Governance in the FCPA Reform Debate*, 38 JOURNAL OF CORPORATION LAW 325 (2013)

*FCPA Settlement, Internal Strife, and the “Culture of Compliance”*, 2012 WISCONSIN LAW REVIEW 689

*Solicitation, Extortion, and the FCPA*, 87 NOTRE DAME LAW REVIEW 781 (2011)

*On the Role and Regulation of Private Negotiations in Governance*, 61 SOUTH CAROLINA LAW REVIEW 171 (2009)

Note, *The Case for a Sixth Amendment Public-Safety Exception after Dickerson*, 2004 UNIVERSITY OF ILLINOIS LAW REVIEW 542

### **SELECTED PRESENTATIONS & PANEL DISCUSSIONS**

*Corporate Fiduciary Dissent*: Law and Society Association Annual Meeting (June 2023)

*Practicing with Strength, Presence, and Kindness*: University of Iowa College of Law, Senior Banquet (May 2023)

*Academic Emergence and Growth from a Time of Crisis: Student Experiences*: University of Iowa Faculty Council Administrative Retreat (Aug. 2022)

*Corruption, Dissent, and the Duty of Candor*: Corruption, the Rise of Populism, and the Future of Democracy, University of Iowa (co-organized by the University of Iowa College of Law, Department of Political Science, and Department of Sociology) (April 2022)

*Innovative Teaching with PowerPoint*: University of Iowa College of Law (March 2022)

*Free Speech and Academic Freedom on Campus*: University of Iowa Staff Council (Dec. 2021)

*They Said What? First Amendment, Freedom of Speech, and Community on Campus*: DEI Committee, University of Iowa College of Law (Nov. 2021)

*Legislative Challenges to Tenure*: American Constitution Society, University of Iowa College of Law (April 2021)

*Private Responses to the Public Anti-Tenure Movement*: University of Iowa College of Law (Feb. 2021)

*Institutional Responses to COVID-19 at Iowa: Big Ten Academic Alliance (BTAA) Faculty Governance Conference (Oct. 2020)*

*Imagining Our Post-COVID Future: University of Iowa Faculty Council Administrative Retreat (Aug. 2020)*

*Applying Principles of Diversity, Equity, and Inclusion in Faculty Recruitment and Retention: University of Iowa Faculty Council Administrative Retreat (Aug. 2019)*

*Finding Intersections in Public Interest and Corporate Law Research: University of Iowa, JOURNAL OF GENDER, RACE & JUSTICE (Aug. 2019)*

*Social Entrepreneurship and Impact Investment in the US and Beyond: NYU Law Grunin Center for Law and Social Entrepreneurship (June 2019)*

*Crisis Response in the Professional Firm: Iowa State Bar Association Section on Business Law, University of Iowa College of Law (Nov. 2018)*

*Free Speech on Campus: A Debate: American Constitution Society and the Federalist Society (co-sponsors), University of Iowa College of Law (March 2018)*

University of Iowa “Dare to Discover” Public Outreach Program (2017) (research on social enterprise law selected by Office of the Vice President for Research for display in downtown Iowa City)

*Bias Response on Campus: Foundation for Individual Rights in Education Annual Faculty Conference (Oct. 2017)*

*Keynote Address: University of Iowa College of Law, Senior Banquet (May 2017)*

*Resolving Social Enterprise Law: Notre Dame Law School, Conference on the Cambridge Handbook of Social Enterprise Law (with Benjamin Means, University of South Carolina School of Law) (March 2017) (also conference co-organizer)*

*Ethics in Business Transactions: Association of American Law Schools Annual Meeting (Jan. 2017)*

*Social Enterprise Law—Origins and Implications: Southern Illinois University School of Law (Sep. 2016)*

*Corrupting Entrepreneurial Action*: Brigham Young University J. Reuben Clark School of Law (Feb. 2016)

*Beyond Yates: From Engagement to Accountability in Corporate Crime*: New York University School of Law Classical Liberal Institute, Conference on Whistleblowing and Corporate Change (Oct. 2015)

*Using Form to Counter Corruption: The Promise of the Public Benefit Corporation*: U.C. Davis School of Law, U.C. DAVIS LAW REVIEW, Symposium on Corruption (Jan. 2015)

*The Standard-Form Family*: University of Iowa College of Law, JOURNAL OF GENDER, RACE & JUSTICE, Symposium on the Unmarried Life (Apr. 2014)

Invited contributor, Brigham Young University J. Reuben Clark School of Law and Marriott School of Management, Conference on Teaching Business Ethics and Corporate Responsibility (Feb. 2013)

*Choosing Governance in the FCPA Reform Debate*: University of Oregon School of Law (Nov. 2012)

*Choosing Governance in the FCPA Reform Debate*: University of Iowa College of Law, Iowa Legal Studies Workshop (Aug. 2012)

Moderator, National Business Law Scholars Conference (June 2012)

*Choosing Governance in the FCPA Reform Debate*: University of Kansas School of Law (Mar. 2012)

*FCPA Settlement, Internal Strife, and the "Culture of Compliance"*: University of Wisconsin Law School, WISCONSIN LAW REVIEW, Symposium on Who's in the House? The Changing Role of In-House & General Counsel (Nov. 2011)

Moderator, University of Iowa College of Law, JOURNAL OF CORPORATION LAW, Symposium on Reregulation and the Business Firm (Feb. 2011)

*Solicitation, Extortion, and the FCPA*: Washington University School of Law, Annual Regional Junior Faculty Workshop (Dec. 2010)

*Solicitation, Extortion, and the FCPA*: American Society of International Law, Biennial Conference of the International Economic Law Interest Group (Nov. 2010) (selected for presentation following anonymous peer review)

*Solicitation, Extortion, and the FCPA*: Indiana University Maurer School of Law, Big Ten Untenured Scholars Conference (Aug. 2010)

*Solicitation, Extortion, and the FCPA*: University of Iowa College of Law (Aug. 2010)

*On the Role and Regulation of Private Negotiations in Governance*: George Mason University School of Law (Dec. 2009)

*On the Role and Regulation of Private Negotiations in Governance*: University of Washington School of Law (Nov. 2009)

*On the Role and Regulation of Private Negotiations in Governance*: Case Western Reserve University School of Law (Nov. 2009)

*On the Role and Regulation of Private Negotiations in Governance*: University of Illinois College of Law (Oct. 2009)

*On the Role and Regulation of Private Negotiations in Governance*: University of Illinois College of Law, Big Ten Untenured Scholars Conference (Aug. 2009)

Invited Participant, University of Colorado Law School, New Institutional Economics Workshop for Law Professors (June 2009)

*On the Role and Regulation of Private Negotiations in Governance*: Law and Society Association Annual Meeting (May 2009)

Panelist, University of Illinois College of Law, Program in Business Law and Policy, Symposium on Beyond Wall Street: The Interplay among Film, Business, and Law (Apr. 2009)

## **COMMENTARY AND REVIEWS**

*Entity Choice and Anti-Corruption Compliance*, Columbia Law School Blue Sky Blog, <http://clsbluesky.law.columbia.edu/2016/02/08/entity-choice-and-anti-corruption-compliance/> (Feb. 8, 2016) (invited submission)

OSGOOD HALL LAW JOURNAL, Peer Reviewer (2015)



Business Law Prof Blog, [http://lawprofessors.typepad.com/business\\_law/2014/09/yockey-on-the-compliance-case-for-social-enterprise.html](http://lawprofessors.typepad.com/business_law/2014/09/yockey-on-the-compliance-case-for-social-enterprise.html) (Sept. 26, 2014) (discussing *The Compliance Case for Social Enterprise*)

Jotwell, <http://health.jotwell.com/new-governance-as-the-new-weapon-in-the-fight-against-fraud/> (Sept. 8, 2014) (review of my article, *Choosing Governance in the FCPA Reform Debate*, by Professor Kathleen Boozang, Seton Hall University School of Law)

Nonprofit Law Prof Blog, <http://lawprofessors.typepad.com/nonprofit/2014/04/yockey-does-social-enterprise-law-matter.html> (Apr. 27, 2014) (discussing *Does Social Enterprise Law Matter?*)

*Complexity of Mission and the Power of Form*, Colorado Bar Association CLE Legal Connection, <http://cbaclelegalconnection.com/2014/03/complexity-mission-power-form/> (Mar. 26, 2014) (featured article on the role of the benefit corporation form and social enterprise practice management)

SocEnt Law Blog, <http://socentlaw.com/2014/03/yockey-on-playing-fair-and-social-enterprise/> (Mar. 12, 2014) (discussing my guest commentary on *The Conglomerate*)

Guest Blogger, *The Conglomerate*, <http://www.theconglomerate.org> (Mar. 10-23, 2014)

Business Law Prof Blog, [http://lawprofessors.typepad.com/business\\_law/2014/02/yockey-on-does-social-enterprise-law-matter.html](http://lawprofessors.typepad.com/business_law/2014/02/yockey-on-does-social-enterprise-law-matter.html) (Feb. 12, 2014) (discussing *Does Social Enterprise Law Matter?*)

FCPA Professor, <http://www.fcprofessor.com/whats-on-your-mind-2> (Sept. 23, 2013) (invited guest commentary on current trends in anti-corruption compliance).

*SEC Ruling Opens Doors*, DES MOINES REGISTER (online edition), <http://www.desmoinesregister.com/article/20130714/BUSINESS04/307140034/SEC-ruling-opens-doors-startup-backers-say-more-needed> (July 14, 2013) (discussing new SEC rules on general solicitation in private securities offerings)

FCPA Professor, <http://www.fcprofessor.com/fcpa-reform-related-scholarship> (Jan. 21, 2013) (discussing *Choosing Governance in the FCPA Reform Debate*)

INVESTMENT ADVISORS WEEK (Dec. 3, 2012) (quoted on the range of FCPA compliance issues facing investment advisors)

*UI Law Professor Looks to Possible Impact of Wal-Mart's Mexican Bribery Investigation*, IowaNow, <http://now.uiowa.edu/2012/04/ui-law-professor-looks-possible-impact-wal-marts-mexican-bribery-investigation> (April 24, 2012) (discussing research in connection with *FCPA Settlement, Internal Strife, and the "Culture of Compliance"*)

*Candidates Emphasize Cutting Taxes, Rules*, DES MOINES REGISTER (Nov. 19, 2011) (discussing the politics of financial regulation)

*Iowa Law Professor Suggests Changes in how U.S. Government Fights Global Corruption*, University of Iowa News Release, <http://news-releases.uiowa.edu/2011/september/092211yockey-corruption.html> (Sept. 22, 2011) (discussing research in connection with my article entitled *Solicitation, Extortion, and the FCPA*)

Business Law Prof Blog, [http:// http://lawprofessors.typepad.com/business\\_law/2011/09/joe-yockey-on-solicitation-extortion-and-the-fcpa.html](http://lawprofessors.typepad.com/business_law/2011/09/joe-yockey-on-solicitation-extortion-and-the-fcpa.html) (Sept. 9, 2011) (discussing *Solicitation, Extortion, and the FCPA*)

Truth on the Market, <http://truthonthemarket.com/2011/08/01/overcriminalization-in-action-the-fcpa/> (Aug. 1, 2011) (discussing *Solicitation, Extortion, and the FCPA*)

Securities Law Prof Blog, <http://lawprofessors.typepad.com/securities/2011/08/yockey-on-the-fcpa.html> (Aug. 1, 2011) (discussing *Solicitation, Extortion, and the FCPA*)

FCPA Professor, <http://www.fcpaprofessor.com/the-demand-side-of-bribery> (Aug. 2, 2011) (discussing *Solicitation, Extortion, and the FCPA*)

*Loebsack Calling for Rules on Insider Trading by Members of Congress, Staffers*, CEDAR RAPIDS GAZETTE (June 21, 2011) (discussing possible regulation of insider trading by members of Congress)

Guest, *Ethical Perspectives in the News*, KCRG Channel 9 (ABC), Cedar Rapids, IA (June 5, 2011) (discussing corporate and privacy law).

Guest, *The Exchange*, Iowa Public Radio, (Aug. 26, 2010) (discussing the hostile takeover attempt of Casey's General Stores, Inc.)

**OTHER WORK EXPERIENCE & COMMUNITY INVOLVEMENT**

President, Board of Trustees, Preucil School of Music, Iowa City, Iowa (2020 – )

Council for the Section on Business Law, Iowa State Bar Association (2019 – )

Continuing Legal Education Subcommittee, Council for the Section on Business Law, Iowa State Bar Association (2019 – )

Sidley Austin LLP, Chicago, Illinois  
Summer Associate (2003)

U.S. Attorney's Office, Western District of Missouri, Kansas City, Missouri  
Summer Intern (2002)

University of Kansas, Office of Admissions, Lawrence, Kansas  
Admissions Counselor (2000-01)

**BAR ADMISSIONS**

Iowa (2018)

Illinois (2004)

U.S. Court of Appeals for the 7<sup>th</sup> Circuit

U.S. District Court for the Northern District of Illinois

U.S. District Court for the Southern District of Indiana